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11 **UNITED STATES DISTRICT COURT**  
12 **NORTHERN DISTRICT OF CALIFORNIA**

13 ALPI INTERNATIONAL, LTD.,

14 Plaintiff,

15 v.

16 ANGA SUPPLY, LLC.

17 Defendant.

Case No. 3:13-cv-04888-JST

STIPULATED PROTECTIVE ORDER

18  
19 1. **PURPOSES AND LIMITATIONS**

20 Disclosure and discovery activity in this action are likely to involve production of  
21 confidential, proprietary, or private information for which special protection from public disclosure  
22 and from use for any purpose other than prosecuting this litigation may be warranted. Accordingly,  
23 the parties hereby stipulate to and petition the court to enter the following Stipulated Protective  
24 Order. The parties acknowledge that this Order does not confer blanket protections on all  
25 disclosures or responses to discovery and that the protection it affords from public disclosure and  
use extends only to the limited information or items that are entitled to confidential treatment under  
26 the applicable legal principles. The parties further acknowledge, as set forth in Section 12.3, below,  
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2 that this Stipulated Protective Order does not entitle them to file confidential information under  
3 seal; Civil Local Rule 79-5 sets forth the procedures that must be followed and the standards that  
4 will be applied when a party seeks permission from the court to file material under seal.

5 2. DEFINITIONS

6 2.1 Challenging Party: a Party or Non-Party that challenges the designation of  
7 information or items under this Order.

8 2.2 “CONFIDENTIAL” Information or Items: information (regardless of how it is  
9 generated, stored or maintained) or tangible things that qualify for protection under Federal Rule  
10 of Civil Procedure 26(c).

11 2.3 Counsel (without qualifier): Outside Counsel of Record and In-House Counsel (as  
12 well as their support staff).

13 2.4 Designating Party: a Party or Non-Party that designates information or items that it  
14 produces in disclosures or in responses to discovery as “CONFIDENTIAL” or “HIGHLY  
15 CONFIDENTIAL – ATTORNEY’S EYES ONLY.”

16 2.5 Disclosure or Discovery Material: all items or information, regardless of the  
17 medium or manner in which it is generated, stored, or maintained (including, among other things,  
18 testimony, transcripts, and tangible things), that are produced or generated in disclosures or  
19 responses to discovery in this matter.

20 2.6 Expert: a person with specialized knowledge or experience in a matter pertinent to  
21 the litigation who has been retained by a Party or its counsel to serve as an expert witness or as a  
22 consultant in this action.

23 2.7 “HIGHLY CONFIDENTIAL – ATTORNEY’S EYES ONLY” Information or Items:  
24 information that is of such a sensitive nature that its disclosure directly to the Receiving Party  
25 (excluding Outside Counsel) would compromise a competitive advantage, expose trade secrets, or  
26 otherwise reveal information objectively considered highly sensitive to the Producing Party.  
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2       2.8     In-House Counsel: attorneys who are employees of a party to this action. In-House  
3     Counsel does not include Outside Counsel of Record or any other outside counsel.

4       2.9     Non-Party: any natural person, partnership, corporation, association, or other legal  
5     entity not named as a Party to this action.

6       2.10    Outside Counsel of Record: attorneys who are not employees of a party to this  
7     action but are retained to represent or advise a party to this action and have appeared in this action  
8     on behalf of that party or are affiliated with a law firm which has appeared on behalf of that party.

9       2.11    Party: any party to this action, including all of its officers, directors, employees,  
10    consultants, retained experts, and Outside Counsel of Record (and their support staffs).

11      2.12    Producing Party: a Party or Non-Party that produces Disclosure or Discovery  
12    Material in this action.

13      2.13    Professional Vendors: persons or entities that provide litigation support services  
14    (e.g., photocopying, videotaping, translating, preparing exhibits or demonstrations, and organizing,  
15    storing, or retrieving data in any form or medium) and their employees and subcontractors.

16      2.14    Protected Material: any Disclosure or Discovery Material that is designated as  
17    “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEY’S EYES ONLY.”

18      2.15    Receiving Party: a Party that receives Disclosure or Discovery Material from a  
19    Producing Party.

20      3.       SCOPE

21      The protections conferred by this Stipulation and Order cover not only Protected Material  
22    (as defined above), but also (1) any information copied or extracted from Protected Material; (2) all  
23    copies, excerpts, summaries, or compilations of Protected Material; and (3) any testimony,  
24    conversations, or presentations by Parties or their Counsel that might reveal Protected Material.  
25    However, the protections conferred by this Stipulation and Order do not cover the following  
26    information: (a) any information that is in the public domain at the time of disclosure to a  
27    Receiving Party or becomes part of the public domain after its disclosure to a Receiving Party as a  
   result of publication not involving a violation of this Order, including becoming part of the public

1 record through trial or otherwise; and (b) any information known to the Receiving Party prior to the  
2 disclosure or obtained by the Receiving Party after the disclosure from a source who obtained the  
3 information lawfully and under no obligation of confidentiality to the Designating Party. Any use  
4 of Protected Material at trial shall be governed by a separate agreement or order.

5 4. **DURATION**

6 Even after final disposition of this litigation, the confidentiality obligations imposed by this  
7 Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order  
8 otherwise directs. Final disposition shall be deemed to be the later of (1) dismissal of all claims and  
9 defenses in this action, with or without prejudice; and (2) final judgment herein after the  
10 completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this action,  
11 including the time limits for filing any motions or applications for extension of time pursuant to  
12 applicable law.

13 5. **DESIGNATING PROTECTED MATERIAL**

14 5.1 **Exercise of Restraint and Care in Designating Material for Protection.** Each Party or  
15 Non-Party that designates information or items for protection under this Order must take care to  
16 limit any such designation to specific material that qualifies under the appropriate standards. The  
17 Designating Party must designate for protection only those parts of material, documents, items, or  
18 oral or written communications that qualify – so that other portions of the material, documents,  
19 items, or communications for which protection is not warranted are not swept unjustifiably within  
20 the ambit of this Order.

21 Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown  
22 to be clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily  
23 encumber or retard the case development process or to impose unnecessary expenses and burdens  
24 on other parties) expose the Designating Party to sanctions.

25 If it comes to a Designating Party's attention that information or items that it designated for  
26 protection do not qualify for protection, that Designating Party must promptly notify all other  
27 Parties that it is withdrawing the mistaken designation.

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2       5.2     Manner and Timing of Designations. Except as otherwise provided in this Order  
3 (see, e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered,  
4 Disclosure or Discovery Material that qualifies for protection under this Order must be clearly so  
5 designated before the material is disclosed or produced.

6              Designation in conformity with this Order requires:

7              (a) for information in documentary form (e.g., paper or electronic documents, but  
8 excluding transcripts of depositions or other pretrial or trial proceedings), that the Producing Party  
9 affix the appropriate legend (either “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL –  
10 ATTORNEY’S EYES ONLY”) to each page that contains Protected Material. If only a portion or  
11 portions of the material on a page qualifies for protection, the Producing Party also must clearly  
12 identify the protected portion(s) (e.g., by making appropriate markings in the margins).

13          A Party or Non-Party that makes original documents or materials available for inspection need not  
14 designate them for protection until after the inspecting Party has indicated which material it would  
15 like copied and produced. During the inspection and before the designation, all of the material  
16 made available for inspection shall be deemed “HIGHLY CONFIDENTIAL – ATTORNEY’S  
17 EYES ONLY.” After the inspecting Party has identified the documents it wants copied and  
18 produced, the Producing Party must determine which documents, or portions thereof, qualify for  
19 protection under this Order. Then, before producing the specified documents, the Producing Party  
20 must affix the “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEY’S EYES  
21 ONLY” legend to each page that contains Protected Material. If only a portion or portions of the  
22 material on a page qualifies for protection, the Producing Party also must clearly identify the  
23 protected portion(s) (e.g., by making appropriate markings in the margins).

24              (b) for testimony given in deposition or in other pretrial or trial proceedings, that the  
25 Designating Party identify on the record, before the close of the deposition, hearing, or other  
26 proceeding, all protected testimony.

27              (c) for information produced in some form other than documentary and for any other  
tangible items, that the Producing Party affix in a prominent place on the exterior of the container

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2 or containers in which the information or item is stored the legend "CONFIDENTIAL" or  
3 "HIGHLY CONFIDENTIAL – ATTORNEY'S EYES ONLY." If only a portion or portions of the  
4 information or item warrant protection, the Producing Party, to the extent practicable, shall identify  
5 the protected portion(s).

6.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to  
7 designate qualified information or items does not, standing alone, waive the Designating Party's  
8 right to secure protection under this Order for such material. Upon timely correction of a  
9 designation, the Receiving Party must make reasonable efforts to assure that the material is treated  
10 in accordance with the provisions of this Order.

11.6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

12.6.1 Timing of Challenges. Any Party or Non-Party may challenge a designation of  
13 confidentiality at any time. Unless a prompt challenge to a Designating Party's confidentiality  
14 designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic  
15 burdens, or a significant disruption or delay of the litigation, a Party does not waive its right to  
16 challenge a confidentiality designation by electing not to mount a challenge promptly after the  
17 original designation is disclosed.

18.6.2 Meet and Confer. The Challenging Party shall initiate the dispute resolution process  
19 by providing written notice of each designation it is challenging and describing the basis for each  
20 challenge. To avoid ambiguity as to whether a challenge has been made, the written notice must  
21 recite that the challenge to confidentiality is being made in accordance with this specific paragraph  
22 of the Protective Order. The parties shall attempt to resolve each challenge in good faith and must  
23 begin the process by conferring directly (in voice to voice dialogue; other forms of communication  
24 are not sufficient) within 14 days of the date of service of notice. In conferring, the Challenging  
25 Party must explain the basis for its belief that the confidentiality designation was not proper and  
26 must give the Designating Party an opportunity to review the designated material, to reconsider the  
27 circumstances, and, if no change in designation is offered, to explain the basis for the chosen  
designation. A Challenging Party may proceed to the next stage of the challenge process only if it

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2 has engaged in this meet and confer process first or establishes that the Designating Party is  
3 unwilling to participate in the meet and confer process in a timely manner.

4       6.3     Judicial Intervention. If the Parties cannot resolve a challenge without court  
5 intervention, the Designating Party shall file and serve a motion to retain confidentiality under  
6 Civil Local Rule 7 (and in compliance with Civil Local Rule 79-5, if applicable) within 21 days of  
7 the initial notice of challenge or within 14 days of the parties agreeing that the meet and confer  
8 process will not resolve their dispute, whichever is earlier. Each such motion must be accompanied  
9 by a competent declaration affirming that the movant has complied with the meet and confer  
10 requirements imposed in the preceding paragraph. Failure by the Designating Party to make such a  
11 motion including the required declaration within 21 days (or 14 days, if applicable) shall  
12 automatically waive the confidentiality designation for each challenged designation. In addition,  
13 the Challenging Party may file a motion challenging a confidentiality designation at any time if  
14 there is good cause for doing so, including a challenge to the designation of a deposition transcript  
15 or any portions thereof. Any motion brought pursuant to this provision must be accompanied by a  
16 competent declaration affirming that the movant has complied with the meet and confer  
17 requirements imposed by the preceding paragraph.

18       The burden of persuasion in any such challenge proceeding shall be on the Designating  
19 Party. Frivolous challenges, and those made for an improper purpose (e.g., to harass or impose  
20 unnecessary expenses and burdens on other parties) may expose the Challenging Party to sanctions.  
21 Unless the Designating Party has waived the confidentiality designation by failing to file a motion  
22 to retain confidentiality as described above, all parties shall continue to afford the material in  
23 question the level of protection to which it is entitled under the Producing Party's designation until  
24 the court rules on the challenge.

25       7.     ACCESS TO AND USE OF PROTECTED MATERIAL

26       7.1     Basic Principles. A Receiving Party may use Protected Material that is disclosed or  
27 produced by another Party or by a Non-Party in connection with this case only for prosecuting,  
defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to

the categories of persons and under the conditions described in this Order. When the litigation has been terminated, a Receiving Party must comply with the provisions of section 13 below (FINAL DISPOSITION).

Protected Material must be stored and maintained by a Receiving Party at a location and in a secure manner that ensures that access is limited to the persons authorized under this Order.

7.2 Disclosure of Protected Material: Unless otherwise ordered by the court or permitted in writing by the Designating Party, a Receiving Party may disclose any Protected Material only to:

(a) the Receiving Party's Outside Counsel of Record in this action, as well as employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this litigation and who have read and agreed to the "Acknowledgment and Agreement to Be Bound" that is attached hereto as Exhibit A;

(b) the officers, directors, and employees (including In-House Counsel) of the Receiving Party to whom disclosure is reasonably necessary for this litigation and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A) excluding, however, the disclosure of any and all information that has been designated by the Producing Party as "ATTORNEY'S EYES ONLY";

(c) Experts (as defined in this Order) of the Receiving Party to whom disclosure is reasonably necessary for this litigation and who have read and agreed to the "Acknowledgment and Agreement to Be Bound" (Exhibit A), except, however, that no Protected Material designated as "ATTORNEYS EYES ONLY" shall be disclosed to any Expert who is an owner, member, executive, officer, manager, employee and/or a representative of the Receiving Party and/or otherwise regularly works for or with the Receiving Party;

(d) the court and its personnel;

(e) court reporters and their staff, professional jury or trial consultants, mock jurors, and Professional Vendors to whom disclosure is reasonably necessary for this litigation and who have read and agreed to the "Acknowledgment and Agreement to Be Bound" (Exhibit A);

(f) during their depositions, witnesses in the action to whom disclosure is reasonably necessary and who have read and agreed to the "Acknowledgment and Agreement to Be Bound" (Exhibit A), unless otherwise agreed by the Designating Party or ordered by the court. Except, however, the disclosure of any and all information that has been designated by the Producing Party as "ATTORNEY'S EYES ONLY" shall only be allowed to witnesses who are owners, members, executives, officers, managers, employees and/or representatives of the Producing Party. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order.

(g) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information.

8. **PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER LITIGATION**

If a Party is served with a subpoena or a court order issued in other litigation that compels disclosure of any information or items designated in this action as Protected Material, that Party must:

(a) promptly notify in writing the Designating Party. Such notification shall include a copy of the subpoena or court order;

(b) promptly notify in writing the party who caused the subpoena or order to issue in the other litigation that some or all of the material covered by the subpoena or order is subject to this Protective Order. Such notification shall include a copy of this Stipulated Protective Order; and

(c) cooperate with respect to all reasonable procedures sought to be pursued by the Designating Party whose Protected Material may be affected.

If the Designating Party timely seeks a protective order, the Party served with the subpoena or court order shall not produce any information designated in this action as Protected Material before a determination by the court from which the subpoena or order issued, unless the Party has obtained the Designating Party's permission. The Designating Party shall bear the burden and

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2 expense of seeking protection in that court of its confidential material – and nothing in these  
3 provisions should be construed as authorizing or encouraging a Receiving Party in this action to  
4 disobey a lawful directive from another court.

5       **9. A NON-PARTY'S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS**  
6       **LITIGATION**

7           (a) The terms of this Order are applicable to information produced by a Non-Party in  
8 this action and designated as Protected Material. Such information produced by Non-Parties in  
9 connection with this litigation is protected by the remedies and relief provided by this Order.  
10 Nothing in these provisions should be construed as prohibiting a Non-Party from seeking  
additional protections.

11           (b) In the event that a Party is required, by a valid discovery request, to produce a  
12 Non-Party's confidential information in its possession, and the Party is subject to an agreement  
13 with the Non-Party not to produce the Non-Party's confidential information, then the Party shall:

14              (1) promptly notify in writing the Requesting Party and the Non-Party that some or  
15 all of the information requested is subject to a confidentiality agreement with a Non-Party;

16              (2) promptly provide the Non-Party with a copy of the Stipulated Protective Order  
17 in this litigation, the relevant discovery request(s), and a reasonably specific description of the  
18 information requested; and

19              (3) make the information requested available for inspection by the Non-Party.

20           (c) If the Non-Party fails to object or seek a protective order from this court within 14  
21 days of receiving the notice and accompanying information, the Receiving Party may produce the  
22 Non-Party's confidential information responsive to the discovery request. If the Non-Party timely  
23 seeks a protective order, the Receiving Party shall not produce any information in its possession or  
24 control that is subject to the confidentiality agreement with the Non-Party before a determination  
25 by the court. Absent a court order to the contrary, the Non-Party shall bear the burden and expense  
26 of seeking protection in this court of its Protected Material.

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2     10. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

3         If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected  
4         Material to any person or in any circumstance not authorized under this Stipulated Protective  
5         Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the  
6         unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the Protected  
7         Material, (c) inform the person or persons to whom unauthorized disclosures were made of all the  
8         terms of this Order, and (d) request such person or persons to execute the “Acknowledgment and  
9         Agreement to Be Bound” that is attached hereto as Exhibit A.

10     11. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED  
12         MATERIAL

13         When a Producing Party gives notice to Receiving Parties that certain inadvertently  
14         produced material is subject to a claim of privilege or other protection, the obligations of the  
15         Receiving Parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This provision  
16         is not intended to modify whatever procedure may be established in an e-discovery order that  
17         provides for production without prior privilege review. Pursuant to Federal Rule of Evidence  
18         502(d) and (e), insofar as the parties reach an agreement on the effect of disclosure of a  
19         communication or information covered by the attorney-client privilege or work product protection,  
20         the parties may incorporate their agreement in the stipulated protective order submitted to the  
21         court.

22     12. MISCELLANEOUS

23         12.1 Right to Further Relief. Nothing in this Order abridges the right of any person to  
24         seek its modification by the court in the future.

25         12.2 Right to Assert Other Objections. By stipulating to the entry of this Protective Order  
26         no Party waives any right it otherwise would have to object to disclosing or producing any  
27         information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no  
       Party waives any right to object on any ground to use in evidence of any of the material covered by  
       this Protective Order.

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2       12.3 Filing Protected Material. Without written permission from the Designating Party or  
3 a court order secured after appropriate notice to all interested persons, a Party may not file in the  
4 public record in this action any Protected Material. A Party that seeks to file under seal any  
5 Protected Material must comply with Civil Local Rule 79-5. Protected Material may only be filed  
6 under seal pursuant to a court order authorizing the sealing of the specific Protected Material at  
7 issue. Pursuant to Civil Local Rule 79-5, a sealing order will issue only upon a request establishing  
8 that the Protected Material at issue is privileged, protectable as a trade secret, or otherwise entitled  
9 to protection under the law. If a Receiving Party's request to file Protected Material under seal  
10 pursuant to Civil Local Rule 79-5(d) is denied by the court, then the Receiving Party may file the  
11 information in the public record pursuant to Civil Local Rule 79-5(e) unless otherwise instructed  
12 by the court.

13       13. FINAL DISPOSITION

14       Within 60 days after the final disposition of this action, as defined in paragraph 4, each  
15 Receiving Party must return all Protected Material to the Producing Party or destroy such material.  
16 As used in this subdivision, "all Protected Material" includes all copies, abstracts, compilations,  
17 summaries, and any other format reproducing or capturing any of the Protected Material. Whether  
18 the Protected Material is returned or destroyed, the Receiving Party must submit a written  
19 certification to the Producing Party (and, if not the same person or entity, to the Designating Party)  
20 by the 60 day deadline that (1) identifies (by category, where appropriate) all the Protected Material  
21 that was returned or destroyed and (2) affirms that the Receiving Party has not retained any copies,  
22 abstracts, compilations, summaries or any other format reproducing or capturing any of the  
23 Protected Material. Notwithstanding this provision, Outside Counsel are entitled to retain an  
24 archival copy of all pleadings, motion papers, trial, deposition, and hearing transcripts, legal  
25 memoranda, correspondence, deposition and trial exhibits, expert reports, attorney work product,  
26 and consultant and expert work product, even if such materials contain Protected Material. Any  
27

1  
2 such archival copies that contain or constitute Protected Material remain subject to this Protective  
3 Order as set forth in Section 4 (DURATION).

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5 IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

6 DATED: April 11, 2014

/s/Philp P. Mann

Philip P. Mann, Attorneys for Plaintiff

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8 DATED: April 11, 2014

/s/Douglas D. Churovich

Douglas D. Churovich, Attorneys for Defendant

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10 PURSUANT TO STIPULATION, IT IS SO ORDERED.

11 DATED: April 14, 2014

  
Honorable Jon S. Tigar  
United States District Judge

**EXHIBIT A**

## ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, \_\_\_\_\_ [print or type full name], of \_\_\_\_\_ [print or type full address], declare under penalty of perjury that I have read in its entirety and understand the Stipulated Protective Order that was issued by the United States District Court for the Northern District of California on [date] in the case of *Alpi International, Ltd. v. Anga Supply, LLC*, Case No. 3-CV-4888-JST. I agree to comply with and to be bound by all the terms of this Stipulated Protective Order and I understand and acknowledge that failure to so comply could expose me to sanctions and punishment in the nature of contempt. I solemnly promise that I will not disclose in any manner any information or item that is subject to this Stipulated Protective Order to any person or entity except in strict compliance with the provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the Northern District of California for the purpose of enforcing the terms of this Stipulated Protective Order, even if such enforcement proceedings occur after termination of this action.

I hereby appoint \_\_\_\_\_ [print or type full name] of  
\_\_\_\_\_ [print or type full address and telephone number]  
as my California agent for service of process in connection with this action or any proceedings  
related to enforcement of this Stipulated Protective Order.

Date:

City and State where sworn and signed:

Printed name:

Signature: